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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q64565

Tatsuhiko IBUKI

RECEIVED

OCT 26 2004

Appln. No.: 09/862,699

Group Art Unit: 2131

Technology Center 2600

Confirmation No.: 9797

Examiner: Unknown

Filed: May 23, 2001

For: USER AUTHENTICATION DEVICE AND ELECTRIC COMMERCE SYSTEM USING
THE DEVICE**INFORMATION DISCLOSURE STATEMENT**
UNDER 37 C.F.R. §§ 1.97 and 1.98Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. H03-25568-A,
published February 4, 1991.
2. Japanese Unexamined Patent Application Publication No. S63-311493-A,
published December 20, 1998.
3. Japanese Unexamined Patent Application Publication No. H04-252396-A,
published September 8, 1992.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/862,699

4. Japanese Unexamined Patent Application Publication No. H07-115413-A,
published May 2, 1995.

5. Japanese Unexamined Patent Application Publication No. H09-271066-A,
published October 14, 1997.

6. Japanese Unexamined Patent Application Publication No. S60136440-A,
published July 19, 1985.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated September 7, 2004 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

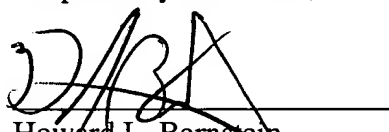
INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/862,699

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 22, 2004

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/862,699
Confirmation Number	9797
Filing Date	May 23, 2001
First Named Inventor	Tatsuhiko Ito
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	Q64565

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	H03-25568	A	02-04-1991		No
		JP	S63-311493	A	12-20-1988		No
		JP	H04-252396	A	09-08-1992		No
		JP	H07-115413	A	05-02-1995		No
		JP	H09-271066	A	10-14-1997		No
		JP	S60-136440	A	07-19-1985		No

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.